

**Applied Analysis (APPM 5450): Midterm 2**

8.30am – 9.50am, March 15, 2010. Closed books.

**Problem 1:** (30 points) Let  $A$  be a bounded linear operator on a Hilbert space  $H$ .

(a) (10 points) Suppose that  $\lambda \in \sigma_p(A)$ . Prove that  $\bar{\lambda} \in \sigma(A^*)$ . Can you tell what part of the spectrum  $\bar{\lambda}$  belongs to?

(b) (10 points) Suppose that  $A$  is self-adjoint, and that  $M$  is an invariant subspace of  $A$ . Prove that  $M^\perp$  is also an invariant subspace of  $A$ .

(c) (10 points) Suppose that  $A$  is compact and self-adjoint. Which statements are necessarily true?

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|--|--|
| (i) $\sigma(A) \subseteq \mathbb{R}$ . | (iv) $\sigma(A) \subseteq (\sigma_p(A) \cup \{0\})$ .                  |
| (ii) $\sigma_r(A) = \emptyset$ .       | (v) $\sigma(A)$ contains infinitely many points.                       |
| (iii) $\sigma_c(A) = \emptyset$ .      | (vi) If $\lambda \neq 0$ , then $\dim(\ker(A - \lambda I)) < \infty$ . |

No motivation required.

**Problem 2:** (20 points)

(a) (6 points) Define what is meant by the *derivative* of a distribution  $T \in \mathcal{S}'(\mathbb{R})$ .

(b) (14 points) Define  $f \in \mathcal{S}'(\mathbb{R})$  via  $f(x) = |x|$ . Calculate the distributional derivatives  $f'$  and  $f''$ . Please motivate carefully.

**Problem 3:** (20 points) Let  $\mathcal{S} = \mathcal{S}(\mathbb{R})$  denote the Schwartz space over  $\mathbb{R}$ .

(a) (6 points) Define what it means for a sequence to converge in  $\mathcal{S}$ . If your definition relies on any norms, semi-norms, metrics, bases, *etc*, then state the definition of these.

(b) (8 points) Let  $\alpha$  be a positive integer. Prove that  $\left(\frac{d}{dx}\right)^\alpha : \mathcal{S} \rightarrow \mathcal{S}$  is a continuous map.

(c) (6 points) Set  $\varphi_n(x) = e^{-(x-n)^2}$ . Does the sequence  $(\varphi_n)_{n=1}^\infty$  converge in  $\mathcal{S}$ ? If so, to what?

**Problem 4:** (30 points) Let  $H$  be a Hilbert space with an orthonormal basis  $(\varphi_n)_{n=1}^\infty$ . Consider the operators

$$A_N x = \sum_{n=1}^N \frac{1}{n} (\varphi_n, x) \varphi_n, \quad \text{and} \quad B_N x = \exp(iA_N) = \sum_{n=1}^N e^{i/n} (\varphi_n, x) \varphi_n.$$

The sequences  $(A_N)_{N=1}^\infty$  and  $(B_N)_{N=1}^\infty$  have the strong limits  $A$  and  $B$ , respectively.

(a) (10 points) Put a check-mark in all the boxes that are correct (no motivation required):

	Compact	Self-adjoint	Skew-adjoint	Normal	Unitary	One-to-one	Onto
$A_N$							
$A$							
$B_N$							
$B$							

(b) (10 points) Do either of the sequences  $(A_N)_{N=1}^\infty$  or  $(B_N)_{N=1}^\infty$  converge in norm? Motivate your answers.

(c) (10 points) Specify the spectra of  $A$  and  $B$  and identify their different parts (*i.e.* specify  $\sigma_p$ ,  $\sigma_c$ , and  $\sigma_r$ ). No motivation required.

**Note:** Please staple this page to the front of your exam!