

## Homework set 10 — APPM5440 — Solution sketches

**Textbook 4.5a:** The connected subsets of  $\mathbb{R}$  are the intervals of the form  $(a, b)$ ,  $[a, b]$ ,  $(a, b]$ , and  $[a, b)$  where  $a$  and  $b$  are numbers such that  $-\infty \leq a \leq b \leq \infty$ . A full solution consists of two steps. First, let  $I$  denote an interval of the kind described and prove that  $I$  is connected (this is easily done via contradiction). Second, let  $\Omega$  denote a subset that is not an interval, then you can construct two open disjoint subsets that cover  $\Omega$ . This proves that  $\Omega$  is not connected.

**Textbook 4.6:** Prove the following results:

- Let  $X$  and  $Y$  denote two homeomorphic topological spaces. Prove that  $X$  is connected if and only if  $Y$  is connected.
- Let  $X$  and  $Y$  denote two homeomorphic topological spaces, let  $f : X \rightarrow Y$  denote a homeomorphism, and let  $x \in X$ . Prove that  $f$  is a homeomorphism between  $X \setminus \{x\}$  and  $Y \setminus \{f(x)\}$ .
- Prove that  $\mathbb{R} \setminus \{0\}$  is not connected.
- Prove that if  $y \in \mathbb{R}^2$ , then  $\mathbb{R}^2 \setminus \{y\}$  is connected.

Assume that  $\mathbb{R}$  and  $\mathbb{R}^2$  are connected. Derive a contradiction from the four facts given above.

**Textbook 5.1:** As an example, we prove that  $a = b = c$ , where

$$a = \sup_{x \neq 0} \frac{\|Ax\|}{\|x\|}, \quad b = \sup_{\|x\|=1} \|Ax\|, \quad c = \sup_{x \leq 1} \|Ax\|.$$

First we prove that  $a = b$ :

$$a = \sup_{x \neq 0} \frac{\|Ax\|}{\|x\|} = \sup_{x \neq 0} \left\| A \frac{x}{\|x\|} \right\| = \sup_{\|y\|=1} \|Ay\| = b.$$

It is obvious that  $b \leq c$  (since the surface of the unit ball is a subset of the closed unit ball itself), so it only remains to prove that  $c \leq b$ . To this end, we pick a sequence of vectors  $x_n$  such that  $\|x_n\| \leq 1$  and  $\|Ax_n\| \rightarrow c$ . Clearly, we can pick all  $x_n$ 's to be non-zero. Then

$$c = \lim \|Ax_n\| \leq \limsup \frac{\|Ax_n\|}{\|x_n\|} = \limsup \left\| A \frac{x_n}{\|x_n\|} \right\| \leq \sup_{\|y\|=1} \|Ay\| = b.$$

**Textbook 5.3:** First note that

$$|\delta(f)| = |f(0)| \leq \sup_{x \in [0,1]} |f(x)| = \|f\|_u.$$

This immediately proves that  $\delta$  is continuous w.r.t. the uniform norm.

A simple way to prove that  $\delta$  is not continuous w.r.t. the  $L^1$  norm is to construct a sequence of functions  $f_n \in C([0,1])$  such that  $\|f_n\|_{L^1} = 1$ , but  $|\delta(f_n)| = n$ . For instance, the functions  $f_n(x) = (n - n^2x/2) \chi_{[0,2/n]}(x)$  will do.

**Problem 1:** Set  $X = \mathbb{R}^n$ ,  $Y = \mathbb{R}^m$ , and let  $A \in \mathcal{B}(X, Y)$ . Let

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix}$$

denote the representation of  $A$  in the standard basis. Equip  $X$  and  $Y$  with the supremum norms. Compute  $\|A\|$ .

*Solution:* This problem is solved in the text of the book.

**Problem 2:** Set  $X = \mathbb{R}^2$  and  $Y = \mathbb{R}$ , and define  $f : X \rightarrow Y$  by setting  $f([x_1, x_2]) = x_1$ . Prove that  $f$  is continuous. Prove that  $f$  is open. Prove that  $f$  does not necessarily map close sets to close sets.

*Solution:* First we prove that  $f$  is continuous. We use that in a metric space, continuity and sequential continuity are equivalent. Let  $x^{(n)} \rightarrow x$  in  $\mathbb{R}^2$ , or, in other words,  $(x_1^{(n)}, x_2^{(n)}) \rightarrow (x_1, x_2)$ . Then it follows immediately that

$$f(x^{(n)}) = x_1^{(n)} \rightarrow x_1 = f(x).$$

Next we prove that  $f$  is open. Let  $\Omega \subset \mathbb{R}^2$  be an open set. Pick a point  $x_1$  in  $f(\Omega)$ . Then for some real number  $x_2$ , we have  $x = (x_1, x_2) \in \Omega$ . Since  $\Omega$  is open, there exists  $\varepsilon > 0$  such that  $B_\varepsilon(x) \subseteq \Omega$ . Then  $(x_1 - \varepsilon, x_1 + \varepsilon) = f(B_\varepsilon(x)) \subseteq f(\Omega)$ , and so  $f(\Omega)$  must be open. (Draw a picture of all objects in this solution!)

Finally we prove that  $f$  is not closed via a counterexample. Consider  $\Omega = \{(x_1, x_2) \in \mathbb{R}^2 : x_1 x_2 \geq 1\}$  (draw a picture!). Then  $\Omega$  is closed in  $\mathbb{R}^2$ , but  $f(\Omega) = (-\infty, 0) \cup (0, \infty)$  is not closed in  $\mathbb{R}$ .